

**SEEPZ SPECIAL ECONOMIC ZONE
ANDHERI (EAST), MUMBAI.**

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AGENDA FOR

**MEETING OF THE APPROVAL COMMITTEE FOR
SECTOR SPECIFIC SPECIAL ECONOMIC ZONE FOR
BIOTECHNOLOGY AT PUNE OF M/S. SERUM BIO
PHARMA PARK-SEZ.**

Via Video Conferencing

DATE : 27.06.2023

TIME : 03.30 P.M.

**MEETING OF THE APPROVAL COMMITTEE FOR SECTOR
SPECIFIC SPECIAL ECONOMIC ZONE FOR BIOTECHNOLOGY AT
PUNE, UNDER THE CHAIRMANSHIP OF DEVELOPMENT
COMMISSIONER, SEEPZ-SEZ ON 27.06.2023**

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Agenda Item No.	Subject
Agenda Item No. 01 :-	Confirmation of the Minutes of the Meeting held on 24.05.2022
Agenda Item No. 02 :-	Monitoring of Performance for M/s. Serum Institute of India Pvt Ltd Unit-VI

Minutes of the 44th Meeting of the Approval Committee meeting held under the Chairmanship of Development Commissioner SEEPZ-SEZ for Sector Specific Special Economic Zone for Bio-Pharma sector of M/s. Serum Bio Pharma Park - SEZ, held on 24.05.2022 via Video Conferencing

1	Name of the SEZ	M/s. Serum Bio Pharma Park - SEZ
2	Sector	Bio-Pharma
3	Meeting No.	44th
4	Date	24.05.2022

Members present

Sr	Name and Designation (S/Shri.)	Department
1	C P S Chauhan Joint Development Commissioner	Pune Cluster SEZ Pune
2	Ms. Pradnya R. Gholap, DCIT (TDS) Pune	Nominee of Income Tax, Pune
3	Dr. Dileeraj Dabhole, Deputy DGFT	Nominee of DGFT, Pune
4	Vaibhav Agarwal Superintendent	Nominee of Customs, Pune

Special Invitee

Sr	Name and Designation	Department
1	Shri B. Ajay Kumar Specified Officer	M/s. Serum Bio Pharma Park SEZ

Agenda Item No.01: Confirmation of the Minutes of the 43rd meeting held on 26.04.2022

After deliberation, the committee approved the minutes of the 43rd UAC Meeting held on 26.04.2022

Agenda Item No.02: Application of M/s. SEZ Biotech Services Pvt. Ltd. (Co-Developer) for approval of additional List of Material for infrastructure development for authorized operations.

The Committee was informed that the jurisdictional Specified Officer has submitted his verification report and has duly recommended for approval of list of material. Accordingly, after deliberation, Committee considered the request of the Co-Developer and approved the lists of goods viz. DG Set along with spares and accessories required for authorized operations in terms of Rule 12(2) of SEZ

Rules, 2006, totally valued at Rs.5 Crores, duly certified by the Chartered Engineer Vaibhav Sapre, Reg. No. M-167076-4.

The meeting ended with vote of thanks to the Chair.

Signed by Shri. Shyam
Jagannathan, IAS)
Date: 26-05-2022
Chairman-cum-Development
Reason: Approved
Commissioner

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**GOVT. OF INDIA,
OFFICE OF THE ZONAL DEVELOPMENT COMMISSIONER,
SEEPZ – SEZ (PUNE CLUSTER),

AGENDA NOTE FOR CONSIDERATION OF THE APPROVAL COMMITTEE

a) Proposal:

Monitoring of the performance of M/s. Serum Institute of India Pvt. Ltd Unit VI, for 3 years of 1st Block (FY 2019-20 to FY 2021-22)

b) Specific Issue on which decision of UAC is required:

Monitoring of performance for the period 2019-20 to 2021-22 (3 years of 1st block period) under Rule 54 of SEZ Rules, 2006

The details of the export projections are as detailed below:

c) Approved export Projections for Ist Block Period: (Rs. in Lacs)

Year	1	2	3	4	5
FOB Value of Exports	0	3500	10000	319000	234000
FE Outgo	2405	11280	23115	138435	113545
NFE achieved	-2405	-7780	-13115	180565	120455

d) Performance as compared to projections: FY 2019-20 to 2021-22

(Rs. In Lacs)

Year	Export		F.E. OUTGO				
	Projecte d	Actual	Raw Material (Goods/Services)		C.G. import		Other outflow
			Project ed	Actual	Project ed	Actual	
2019-20	3500	0.00	15000	250.91	67000	13694.56	20.55
2020-21	10000	84015.33		31703.24		34640.92	234.84
2021-22	319000	30865.81		43874.87		31226.99	1567.19
Total :	332500	114881.14	15000	75829.02	67000	79562.47	1822.58

Cumulative NFE achieved: FY 201--20 to 2021-22

(Rs. in Lacs)

Year	Cumulative NFE Achieved	Cumulative % NFE Achieved
2019-20	-3102.42	0
2020-21	69635.81	82.88%
2021-22	39217.68	34.14%

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Employment Achievement (Direct): FY 2019-20 to 2021-22

The Unit has achieved employment of 511 employees as on 31.03.2022

e) Other Information:

LOA No. & Date	LOA No SEEPZ/NEWSEZ/SERUM-PUNE/10/2018-19/2868 dated 20-02-2019
Location of Unit	Serum Bio-Pharma Park, Off Soli Poonawalla Road, 212/2, Hadapsar, Pune – 411028.
Validity of LOA	19.12.2024
Item(s) of manufacture/ Services	Manufacturing (1) Vaccine for Human Medicine, Single or multi doses & in Bulk form – 210 million doses. (2) Immuno-Biological Product, unmixed, not put up unmixed., not put up in measured Doses or in forms or Packing for Retail Sale – 2.50 Million Doses (3) Immuno- Biological Products put up in measured Doses or in forms or packing for Retail Sale – 3.5 Million Doses.
Date of commencement of production	20.12.2019
Execution of BLUT	35 Crores 15.03.2019, 35 Crores 14.06.2021.
Outstanding Rent dues	NA
Labour Dues	NA
Validity of Lease Agreement	
Pending CRA Objection, if any	NA
Pending Show Cause Notice/ Eviction Order/Recovery Notice/ Recovery Order issued, if any	NA
a) No. of employees as on 31.03.2022	511
Quantity and value of goods exported under Rule 34 (unutilized goods)	NA
Value Addition during the monitoring period	NA
Whether all the APRs being considered now has been filed well within the time limit, or otherwise. If no, details of the Year along with no of days delayed to be given.	Yes

The Specified Officer has submitted a report vide letter dated 11.05.2023, in the prescribed format along with point-wise reply to the discrepancies as follows:-

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(a) Export

Details of year-wise export as per the prescribed format:

From the year 2019-2020 to 2021-2022

(Rs. In Lakhs)

Year/Period	Figures as per APR	Figures as per Softex/ SB / Customs Records	Difference if any	Reason for Difference/Remark
(1)	(2)	(3)	(4)	(5)
FY 2019-20	0	0	0	0
2020-21	84015.33	85974.50	(-)1959.17	Less FOB Value of Free Sample Export not considered for NFE calculation in APR, Less : FOB Value of Cancelled Shipping Bills but appeared in NSDL report 2020-21, Add : SEZ to EOU (Rule 53(j)(a) of SEZ Rules, 2006) – 2020-21
2021-22	30687.38	43671.34	(-) 12983.96	(Diff Between Third Party Export and Actual realized amount) VALUER OF Free Samples considered in NSDL, Value of Material sent for Machine Trial, Repair, Rejections etc. & Value of cancelled Invoices.

(b) Import**(i) (Capital Goods including procurement done on IUT (from SEZ, EOU, STPI, EHTP) basis.**

(Rs. In Lakhs)

(Rs. In Lakhs)

Year/Period	Figures as per APR (RM Imported)	Figures as per Customs Records / Bond Register	Difference if any	Reason for Difference/Remark
2019-20	15625.04	12063.63	3561.36	Add – Value of Non-CG procured under Zone to Zone transfer, Add : Value of Capital Goods procured under Zone to Zone transfer, Less : Re-import cases not considered, Add : Value of Capital Goods procured under Zone to

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				Zone transfer.
2020-21	73595.89	58714.31	14881.58	Less : Value of Non-CG received from EOU which they have considered in APR, Less Re-import cases not considered, Less Cancelled BOE, Less Value of Capital Goods considered under Consumables, Add : Value of Non-CG procured under Zone to Zone transfer.
2021-22	76533.97	76879.29	(-) 345.32	Add – Consumables to CG (Wrongly categorized as consumables in NSDL, Add – Diff. between CIF and A. Value – HSS Transaction, Less –Equipment received from EOU on Loan basis (BOE No. 1002877, 1002877), Less – Equipment received from EOU (BOE No. 1000987), Actual Import as per NSDL – SPR, Add – Consumables to SPR (Wrongly categorized as consumables in NSDL), Add – Diff between CIF and A. Value – HSS Transaction, BOE Cancelled, Actual Import as per NSDL – NCG, Add – Diff between CIF and A. Value – HSS Transaction, Less – consumables to CG (Wrongly categorized as consumables in NSDL), Less – Consumables to SPR (Wrongly categorized as consumables in NSDL), Less – IND NCG received from EOU, Less – IMP NCG received from EOU, Less – IMP NCG received from EOU – Missed to considered in this APR, Less – BOE cancelled.

(c) BLUT

1	Value of BLUT Executed (Duty foregone) (including for CG / Raw Material / Services) Value of Additional BLUT	1. Rs. 35 Crores – acceptance date 15.03.2019 2. Rs. 35 Crores - acceptance date 14.06.2021
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	executed TOTAL Duty value of BLUT Executed in Lakhs:	Total - 70 Crores			
2	Total Duty Foregone on goods & services procured Category Wise: Year Wise (Amount in Lakhs)	F.Y.	Goods	Services	Total
			Imp. & Ind.		
		2019-20	0	0	0
		2020-21	23341.23	265.60	23606.83
		2021-22	27083.23	1000.18	28083.41
3	Has the Unit procured goods and or services without having sufficient balance in their BLUT. If yes, Month & Year when the BLUT was exhausted Details of the consignments and Total value of Goods procured without having sufficient or nil balance in BLUT	No			

(d)	Employment made as on date- (as on end of block period / year up to which monitoring is being done)	511
(e)	Details of pending Foreign Remittance beyond Permissible period, if any (as on.....) To cross-check the same and verify whether necessary permission from AD Bank / RBI has been obtained.	NO.
(f)	Whether all softex has been filed for the said period. If no, details thereof. SO to also check whether unit has obtained Softex condonation from DC office / RBI and if approved, whether they have filed such pending Softex.	No
(g)	Whether all Softex has been certified, if so till which month has the same been certified. If not, provide details of the Softex and reasons for pendency.	No
(h)	Whether unit has filed any request for Cancellation of Softex	No
(i)	Whether any Services provided in DTA / SEZ/EOU/STPI etc. against payment in INR in r/o IT/ITES Unit during the period.	NO.

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	If yes, details thereof (year wise details to be provided)	
(j)	Has the unit cleared any Capital Goods procured duty free in DTA against payment of Duty, or otherwise. Full details to be provided along with value of assets and duty discharged	Nil.
(k)	Is the unit sharing any of their infrastructures with other units or are utilizing infrastructure of another unit in the same or other SEZ. If so, details thereof, including the details of the unit with whom the sharing is being made, and the payment terms If approval for sharing of common infrastructure has been obtained from UAC / DC office, the date of UAC / Approval letter to be indicated	NO.
(l)	Whether all DSPF for services procured during the said monitoring period under consideration has been filed by the unit and whether the same has been processed for approval by the SO Office.	They are not filling DSPF for services procured online (NSDL) on the pretext that the NSDL rates are very high.
(m)	Whether unit has filed all DTA procurement w.r.t. the goods procured by them during the monitoring period for the relevant period. If no, details thereof	They are not filing DTA procurement w.r.t. the goods online (NSDL) on the pretext that the NSDL rates are very high.
(n)	Details of the request IDs pending for OOC in respect of DTA procurement on the date of submission of monitoring report	NIL.
(o)	Has the unit set up any cafeteria / canteen / food court in unit premises. If yes, whether permission from UAC / DC office has been issued, or otherwise office has been issued, or otherwise Whether unit has availed any duty paid goods / services for setting up such facility? If yes, whether unit has discharged such duty / tax benefit availed? details to be given including	Nil.

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	amount of duty / tax recovered or yet to be recovered	
(p)	Whether any violation of any of the provision of law has been noticed/observed by Specified Officer during the period under monitoring.	Nil

f) **Relevant provisions:**
 Rule 54 of SEZ Rules, **2006 “Performance of the Unit shall be monitored by the Approval Committee as per the guidelines given in Annexure appended to these rules”**

ADC’s observations:

- The Unit has achieved cumulative export revenue of Rs. 114881.14 Lakhs as against projected export of Rs. 3,32,500 Lakhs and achieved cumulative NFE of 39217.68 Lakhs which is 34.14% in 3 years of 1st Block Period i.e. during (FY 2019-20 to 2021-22)
- The unit has achieved Employment of 511 employees as on 31.03.2022.
- As per SO in his report dated 10.05.2023, Unit is not filing DSPF for services procured online (NSDL). Further they are also not filing DTA procurement w.r.t. the goods online (NSDL).
- Approval Committee may like to monitor the performance of the Unit for FY 2019-20 to 2021-22 (3 years of 1st Block period).